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 DATE: December 8, 2006

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TO:	Commissioner for Patents, Mail Stop Amendment		FAX NO.: 571-273-8300
FROM:	Christopher A. Rothe	ADMIN. ASST.:	Kathleen Spina
APPLN. NO.:	10/566,912	ATTY. DOCKET NO.:	RCHP-134US
TITLE OF APPLN.:	FUNCTIONALIZED POLYMERIC COLLOIDS		
FILING DATE:	February 3, 2006	ART UNIT:	To Be Assigned
FIRST INVENTOR:	Venkatram P. Shastri	CONF. NO.:	To Be Assigned
TITLE OF DOCUMENT (and List of Attachments): Information Disclosure Statement			
Transmittal Sheet (1 pg.); IDS/PTO/SB08a and PTO/SB/08b (4 pgs.); 1 Non-Patent Reference (2 pgs.)			

 Total Number of Pages: 8 (including this form)

COMMENTS
PLEASE NOTE THE NEW ATTORNEY DOCKET NUMBER: RCHP-134US
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PTO/SB/21 (09-04) (AVY 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

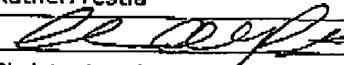
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/566,912
	Filing Date	FEBRUARY 3, 2006
	First Named Inventor	VENKATRAM P. SHASTRI
	Art Unit	TO BE ASSIGNED
	Examiner Name	NOT YET KNOWN
Total Number of Pages In This Submission 8	Attorney Docket No.	RCHP-134US

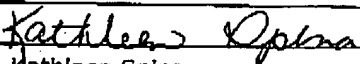
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ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature			
Printed Name	Christopher A. Rothe		
Date	December 8, 2006	Registration No.	54,650

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Signature			
Typed or Printed Name	Kathleen Spina	Date	December 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/566,912
Applicants: VENKATRAM P. SHASTRI et al.
Filed: FEBRUARY 3, 2006
Title: FUNCTIONALIZED POLYMERIC COLLOIDS
T.C./A.U.: To Be Assigned
Examiner: Not Yet Known

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b form submitted herewith. A copy of the references listed on the PTO/SB/08a and/or PTO/SB/08b, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

RCHP-134US

PATENT

This Information Disclosure Statement is being filed before mailing of the first Official Action on the merits. No first Official Action on the merits has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Jonathan H. Spadt, Reg. No. 45,122
Christopher A. Rothe, Reg. No. 54,650
Attorneys for Applicants

JHS/CAR/ks

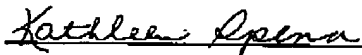
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PTO/SB/08b;
Reference (1 non-patent);
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Kathleen Spina

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/566,912
 Filing Date FEBRUARY 3, 2006
 First Named Inventor VENKATRAM P. SHASTRI
 Art Unit TO BE ASSIGNED
 Examiner Name NOT YET KNOWN
 Attorney Docket No. RCHP-134US

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SHEET 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-4,389,330	06/21/1983	Tice et al.	
		US-5,792,477	08/11/1998	Rickey et al.	
		US-			
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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